

Format-1

(Email Id / Mobile Number Declaration Form)

To,
Ficus Securities Pvt.Ltd.
805,Indraprakash Building,
21,Barakhamba Road,CP,
New Delhi-110001

Date _____

Dear Sir,

I/We hereby request you to send all your communications pertaining to my/our Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls,depository communication or any other communication (which is necessary from your Compliance point of view) including the verification call from Ficus etc. on the below mentioned Mobile number/Email id

Contact	Mention Email, Mobile	Mobile/ Email is registered in the name of			
		Self	Spouse	Dependent Child	Dependent Parent
Email		<input type="checkbox"/>			<input type="checkbox"/>
Mobil					<input type="checkbox"/>

I/we confirm that the said Mobile is registered in the name of _____ and the Email is/is also registered in the name of _____.

I/We am/are also aware that the stock Exchanges have been pursuing a process of confirming the trade details directly to the Clients via SMS and Email alerts which they have carried out through their respective Stock Broker. Accordingly, I/We record my/our consent to receive those SMS as well as Emails alerts directly from the Exchanges on the above stated contact details by authorizing you for sharing the said details same with Exchanges.

Yours Faithfully

Name _____

(Sign of the client)

Trading Code _____

Format-2

(Declaration form for clients not having Email Id/ Mobile Number)

To,
Ficus Securities Pvt.Ltd.
805,Indraprakash Building,
21,Barakhamba Road,CP,
New Delhi-110001

Date: _____

Dear Sir,

I/We understand, Exchange, with the objective of reducing the number of investor complaints relating to unauthorized trading and to safeguard Members' own interest, provides details of the transactions to all investors through mails and SMSs whose email address and mobile numbers are updated by the trading members to the Exchanges. I/We also understand that as provisions of SEBI/Exchanges, the trading member requires to update the mobile and e-mail IDs of their clients to the Exchanges.

However, pursuant to above mentioned compliance, I/we hereby confirm & declare that I/We do not have the mobile number/email id to be updated in your records for the purpose of receiving transactional alerts from trading member/Exchanges / SEBI. I/We further authorize you to inform /update the same to the Exchanges/SEBI.

Yours Faithfully

Name _____

(Sign of the client)

Trading Code _____